

# Complete prepotential for five dimensional $N=1$ superconformal field theory

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Based on the work in progress with Hirotaka Hayashi, Sung-Soo Kim, and Kimyeong Lee

# **§1. Introduction**

# 5d $N=1$ gauge theory at Coulomb phase

**“IMS Prepotential”** [Intriligator, Morrison, Seiberg '97]

- **Perturbatively 1-loop exact**
- **Locally cubic** due to gauge invariance
- **Coefficients of the polynomial changes at the point where the massless particle appears**

# IMS Prepotential [Intriligator, Morrison, Seiberg '97]

$$F = \frac{1}{2}m_0 h_{ij} \phi_i \phi_j + \frac{k}{6} d_{ijk} \phi_i \phi_j \phi_k + \frac{1}{12} \left( \underbrace{\sum_{\mathbf{R}} |\mathbf{R} \cdot \phi|^3}_{\text{vector multiplet}} - \underbrace{\sum_f \sum_{\mathbf{w} \in \mathbf{W}_f} |\mathbf{w} \cdot \phi + m_f|^3}_{\text{hypermultiplet}} \right)$$

$\phi_i$  : Vector multiplet of  $U(1)_i$ ,  $m_0 = \frac{1}{g_{YM}^2}$  : Bare coupling

$$h_{ab} = \text{Tr}(T_a T_b), \quad d_{abc} = \frac{1}{2} \text{Tr}(T_a (T_b T_c + T_c T_b)),$$

$k$  : Chern-Simons level

$\mathbf{R}$  : Root of the gauge group,

$\mathbf{W}_f$  : Weight of the gauge group

$f$  : Label for the hypermultiplet,

$m_f$  : Mass of the hypermultiplet

# Question

How about “non-perturbative effect” ?

What if the **instanton particle** becomes massless?

**Conventional Answer (?)**

**No instanton effect**

**if**

$$m_0 \gg |\langle \phi_i \rangle|, |m_f|$$

# Conventional Discussion (?)

**5d theory on  $S^1$  (circumference  $\beta$ )**

**Instanton factor:**  $e^{-\beta m_0} \rightarrow 0$  ( as  $\beta \rightarrow \infty$  )

**No instanton effect in 5d gauge theory**

**if**  $m_0 > 0$

# Conventional Discussion (?)

**5d theory on  $S^1$  (circumference  $\beta$ )**

**Instanton effect is suppressed by:**

$$e^{-\beta \left( m_0 + (\text{linear combination of } \langle \phi_i \rangle, m_f) \right)} \rightarrow 0 \quad (\text{as } \beta \rightarrow \infty)$$

**No instanton effect in 5d gauge theory**

**if**  $m_0 \gg |\langle \phi_i \rangle|, |m_f|$

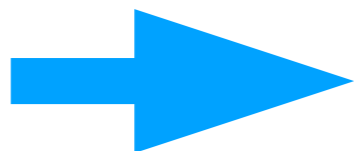
# Question

**How about “non-perturbative effect” ?**

**What if the instanton particle becomes massless?**

**Answer in this talk**

**There is “non-perturbative effect” if we write down the prepotential for whole parameter region,**

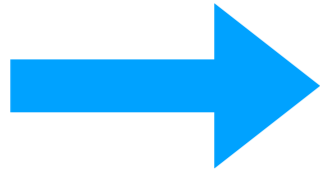


**“Complete prepotential”**



# Does it make sense to consider the region

$$m_0 = \frac{1}{g_{YM}^2} < 0 ?$$



**YES** in the following sense

**IR**

**RG flow**

**UV**

Relevant deformation e.g.  $m_0 > 0$

**5d SUSY  
gauge theory**

**5d SCFT**

Relevant deformation e.g.  $m_0 < 0$

**Another gauge theory  
or a Non-Lagrangian theory**

**Nicely described by  $(p,q)$  5-brane web** [Aharony, Hanany, Kol '97]

# How to compute the prepotential for

$$m_0 = \frac{1}{g_{YM}^2} < 0 ?$$

- **Based on  $(p,q)$  5-brane web**

$$\begin{aligned} \frac{\partial F}{\partial \phi_i} &= (\text{Monopole tension}) \\ &= (\text{Area of D3-brane in the web}) \end{aligned}$$

- **Global symmetry at UV fixed point**

Add “non-perturbative terms” to the IMS prepotential so that the prepotential is invariant under the Weyl group of the expected global symmetry at UV fixed point

# Plan of This Talk

✓ §1. Introduction

§2. Complete prepotential from  $(p,q)$  5-brane web

§3. Complete prepotential from global symmetry

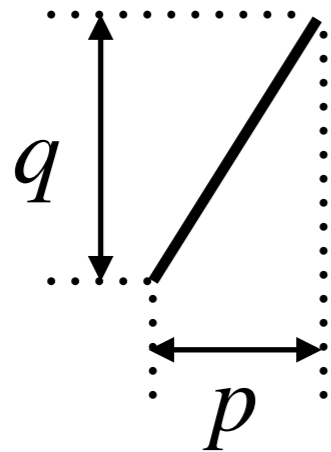
§4. Higher rank generalization

§5. Conclusion

# $(p, q)$ 5-brane web diagram

[Aharony, Hanany '97]  
[Aharony, Hanany, Kol '97]

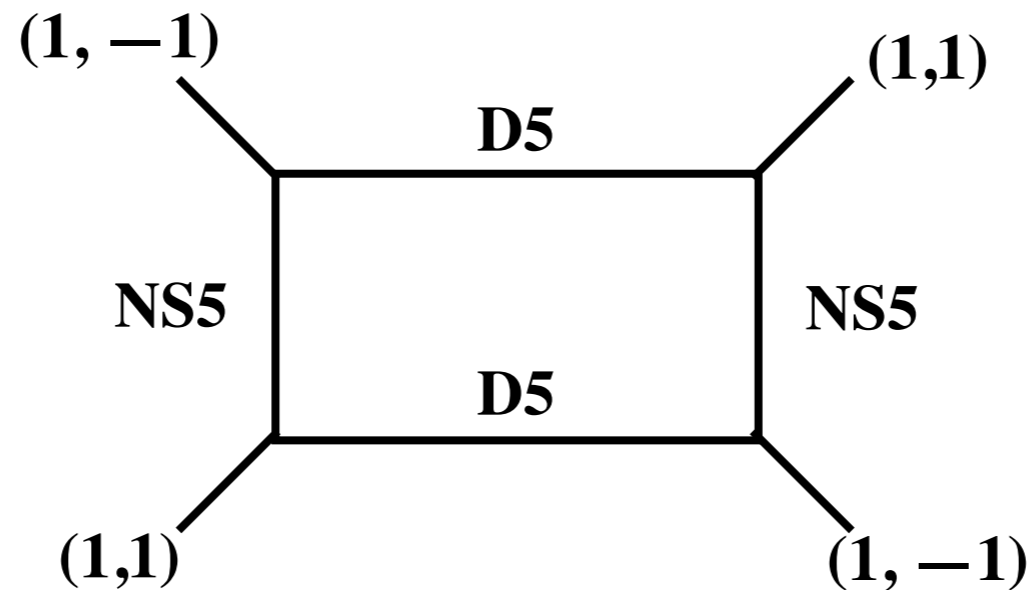
	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>
<b>5-brane</b>	—	—	—	—	—	<b>web</b>		•	•	•



$(p, q)$  5-brane =  $p$  D5-brane +  $q$  NS5-brane

$(1, 0)$  5-brane = D5 brane

$(0, 1)$  5-brane = NS5 brane

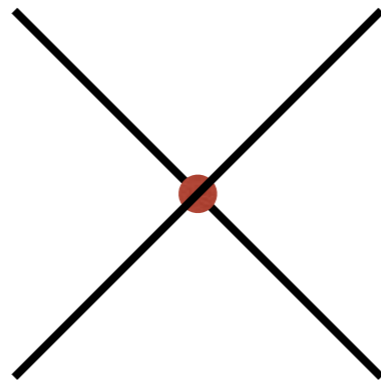
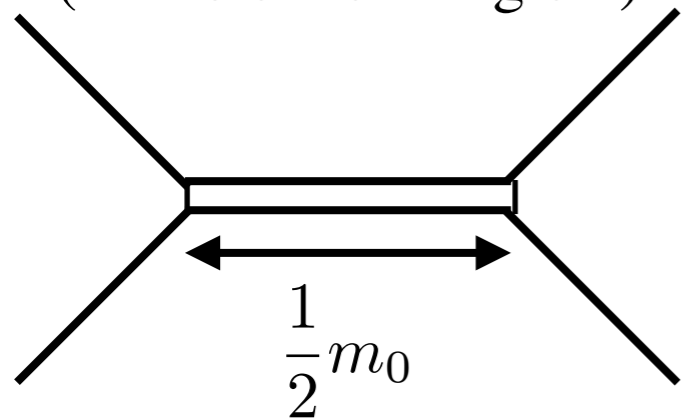


# $E_1$ SCFT (UV fixed point)

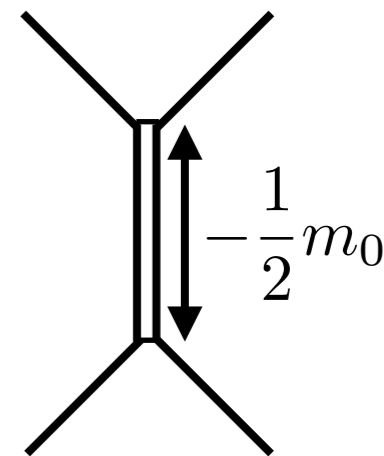
$$m_0 > 0$$

$$m_0 < 0$$

**SU(2) gauge theory**  
(discrete theta angle 0)

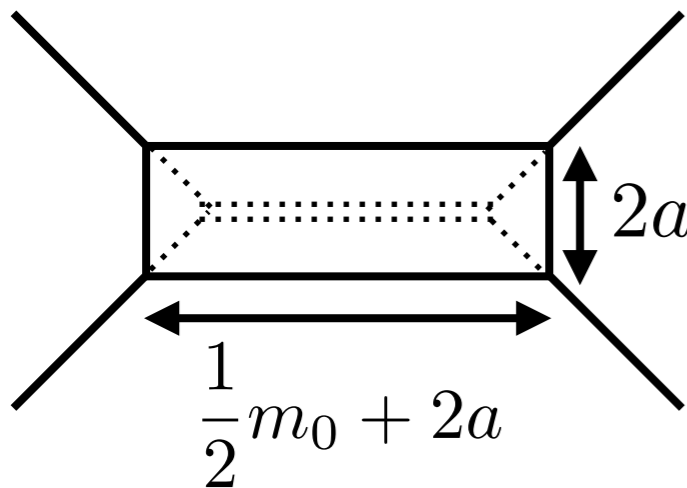


**Another SU(2) gauge theory**



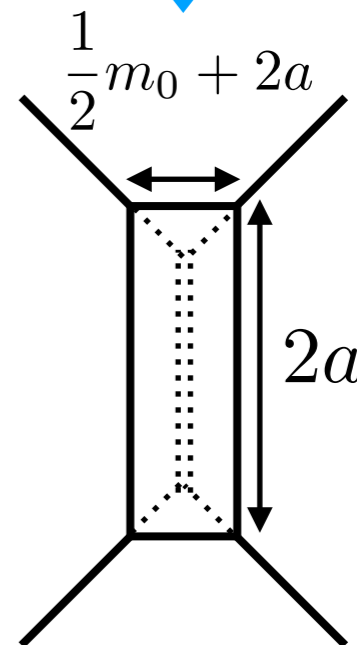
**Coulomb phase**

$$\frac{\partial F}{\partial a} = (\text{Area}) = 2a \left( \frac{1}{2}m_0 + 2a \right)$$



$$F = \frac{1}{2}m_0 a^2 + \frac{4}{3}a^3$$

**Agrees with  
IMS prepotential**



$$(\langle \phi \rangle = \text{diag}(a, -a))$$

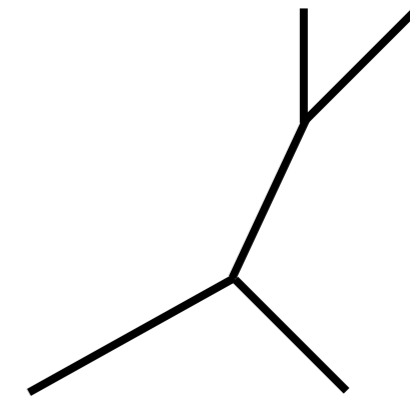
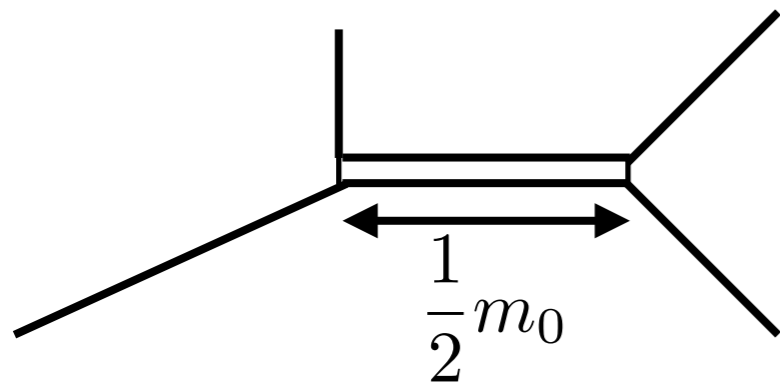
$\tilde{E}_1$  SCFT (UV fixed point)

$m_0 > 0$

$m_0 < 0$

**SU(2) gauge theory**  
(discrete theta angle  $\pi$ )

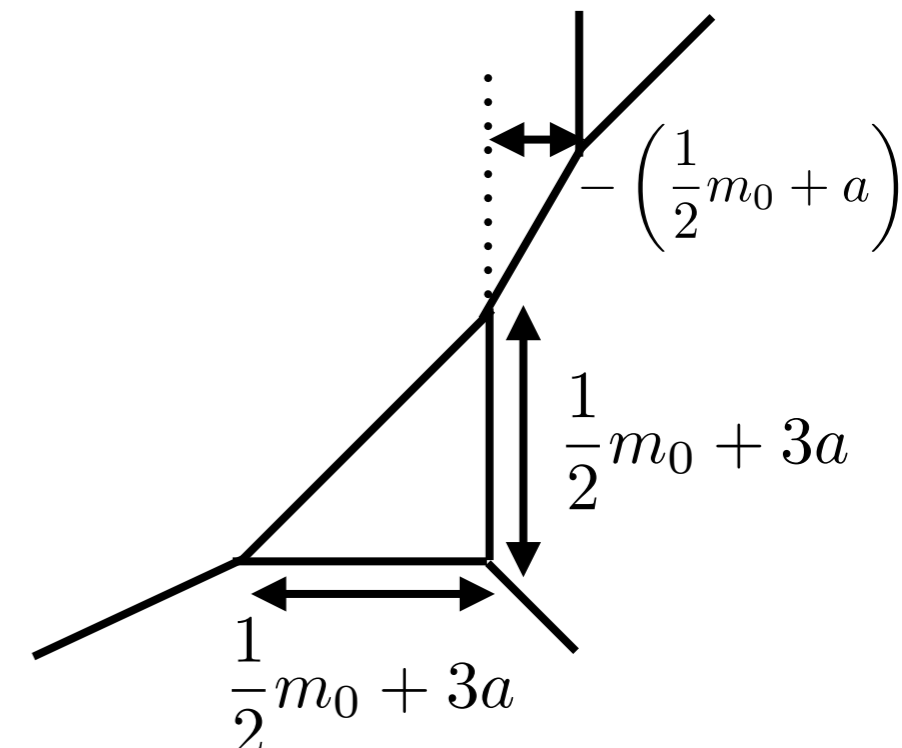
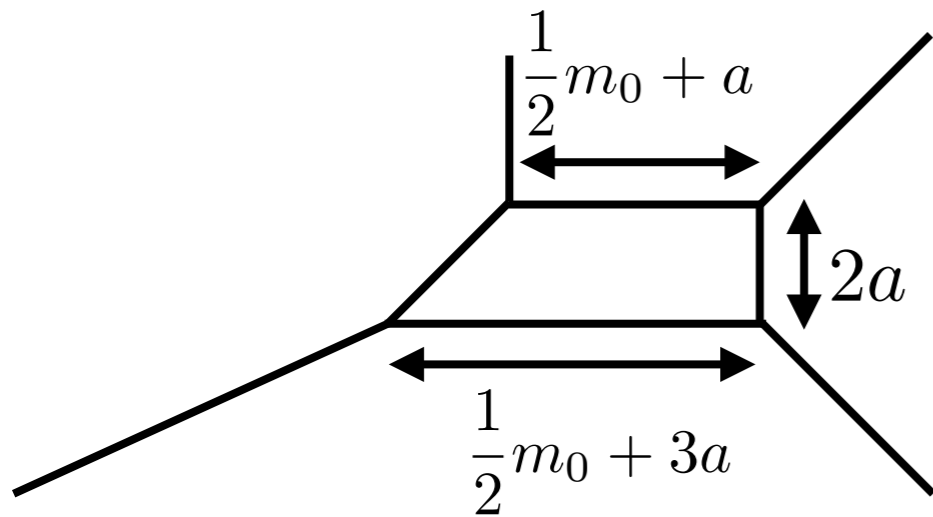
**Non-Lagrangian theory**  
(Not gauge theory)



$a > -\frac{1}{2}m_0$

$a < -\frac{1}{2}m_0$

**Coulomb phase**



(Area) =  $a(m_0 + 4a)$

(Area) =  $\frac{1}{2} \left( \frac{1}{2}m_0 + 3a \right)^2$

# Complete prepotential for $\tilde{E}_1$ theory

c.f. [Morrison, Seiberg '97]

$$F = \underbrace{\frac{1}{2}m_0 a^2 + \frac{4}{3}a^3}_{\text{IMS prepotential}} + \underbrace{\frac{1}{6} \parallel a + \frac{1}{2}m_0 \parallel^3}_{\text{“Non-perturbative correction”}} + (m_0^3 \text{ terms}) \quad a \geq 0$$

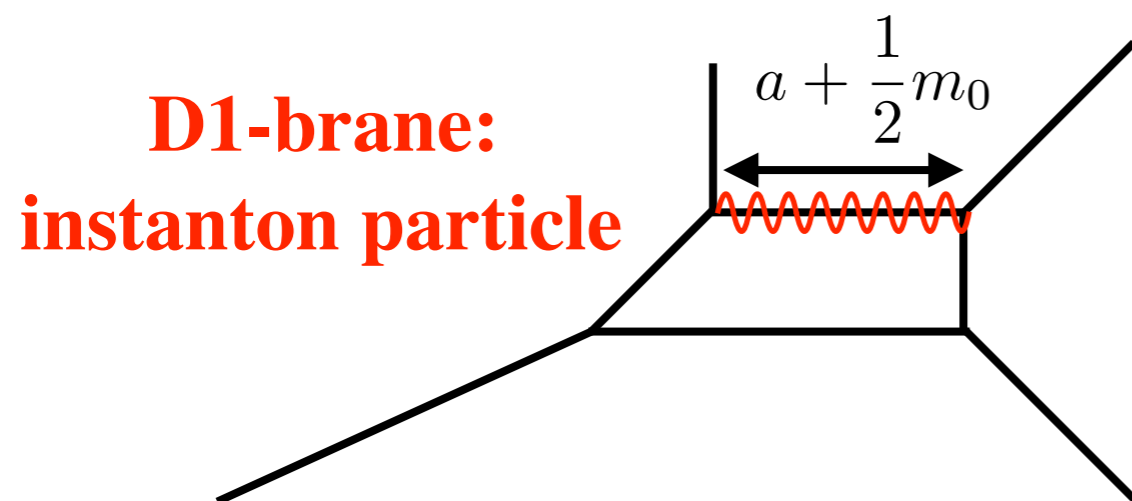
IMS prepotential

“Non-perturbative correction”  
from “instanton particle”

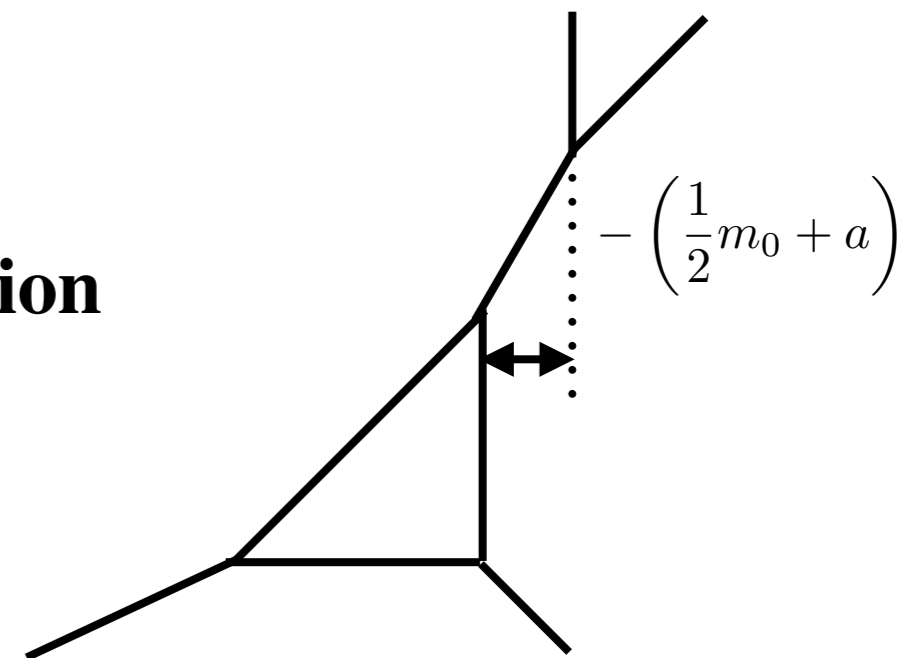
(BPS particle with instanton charge 1 at Coulomb phase)

$$\parallel x \parallel := x \theta(-x) = \begin{cases} 0 & (x \geq 0) \\ x & (x < 0) \end{cases}$$

c.f. [Closset, del Zotto, Saxena '18]



Flop Transition



# Plan of This Talk

✓ §1. Introduction

✓ §2. Complete prepotential from  $(p,q)$  5-brane web

§3. Complete prepotential from global symmetry

§4. Higher rank generalization

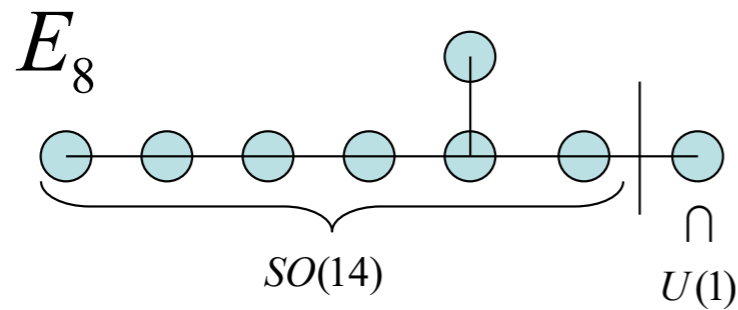
§5. Conclusion



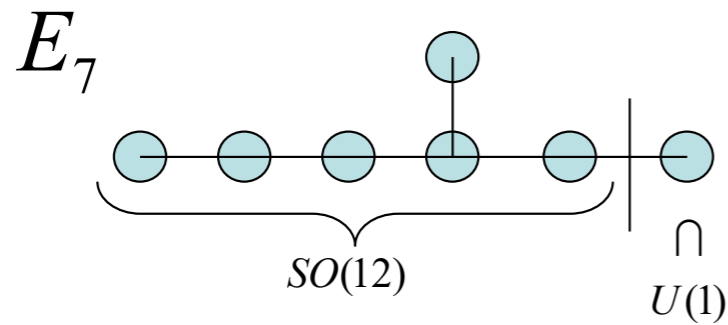
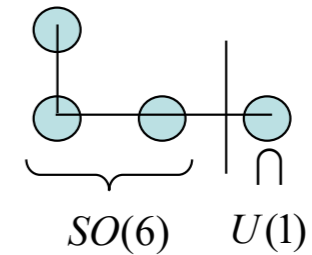
# Global symmetry at UV fixed point for $SU(2)$ gauge theory with $N_f$ flavor

[Seiberg '96]

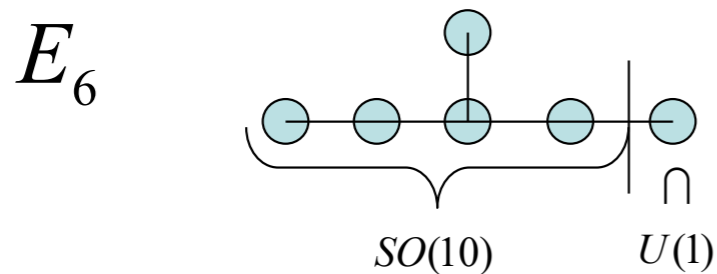
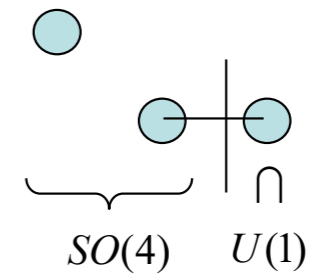
$$SO(2N_f) \times U(1)_I \subset E_{N_f+1}$$



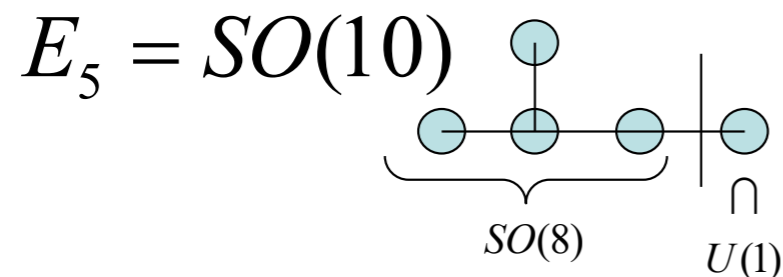
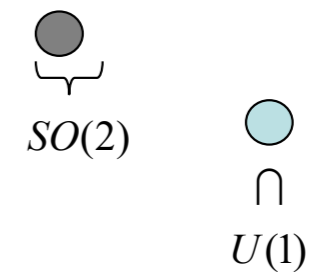
$$E_4 = SU(5)$$



$$E_3 = SU(2) \times SU(3)$$

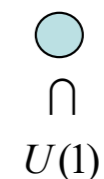


$$E_2 = U(1) \times SU(2)$$



$$E_1 = SU(2)$$

$$\tilde{E}_1 = U(1)$$



# How can we see the global symmetry $E_1 = SU(2)$ from prepotential?

## Weyl reflection of $E_1$

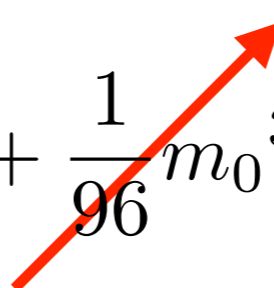
[Aharony, Hanany, Kol '97]

$$m_0 \rightarrow -m_0$$

$$a \rightarrow a + \frac{1}{4}m_0$$

**“Invariant Coulomb branch parameter”**:  $\tilde{a} = a + \frac{1}{8}m_0$

[Mitev, Pomoni, Taki, Yagi '14]

$$\begin{aligned} F(a) &= \frac{1}{2}m_0a^2 + \frac{4}{3}a^3 \\ &= \frac{4}{3}\tilde{a}^3 - \frac{1}{2}m_0^2\tilde{a} + \frac{1}{96}m_0^3 \end{aligned}$$


**Prepotential is invariance under the Weyl reflection of  $E_1$**

# SU(2) with $N_f=1$ flavor, $E_2 = \text{SU}(2) \times \text{U}(1)$

**Weyl Reflection of  $E_2$ :**  $(x, y, \tilde{a}) \rightarrow (-x, y, \tilde{a})$

$$x := \frac{1}{4}m_0 + \frac{1}{4}m_1, \quad y := -\frac{1}{4}m_0 + \frac{7}{4}m, \quad \tilde{a} = a + \frac{1}{7}m_0$$

$$\begin{aligned}
 F &= \frac{7}{6}\tilde{a}^3 - \left(x^2 + \frac{1}{7}y^2\right)\tilde{a} \\
 &+ \frac{1}{6}\left\|\tilde{a} + \frac{4}{7}y\right\|^3 + \frac{1}{6}\left\|\tilde{a} + x - \frac{3}{7}y\right\|^3
 \end{aligned}
 \left. \vphantom{\begin{aligned} F \\ &+ \frac{1}{6}\left\|\tilde{a} + \frac{4}{7}y\right\|^3 + \frac{1}{6}\left\|\tilde{a} + x - \frac{3}{7}y\right\|^3 \end{aligned}} \right] \text{IMS prepotential}$$
  

$$\left\|a \pm m\right\|^3 \begin{aligned} &+ \frac{1}{6}\left\|\tilde{a} - x - \frac{3}{7}y\right\|^3 \end{aligned} \left. \vphantom{\left\|a \pm m\right\|^3} \right] \text{Non-perturbative correction}$$
  

$$\left\|a + \frac{1}{2}(m_0 - m)\right\|^3$$

**Agree with the complete prepotential computed from the area**

[H.Hayashi, S.S.Kim, K.Lee, F.Yagi '17]

c.f. [Morrison, Seiberg '97]

# Complete Prepotential for 5d rank 1 SCFT

(SU(2) with  $N_f$  flavor)

$$F = \frac{8 - N_f}{6} \tilde{a}^3 + \left( \frac{1}{8 - N_f} m_0^2 + \sum_{k=1}^{N_f} m_k^2 \right) \tilde{a} + \frac{1}{6} \sum_{\mathbf{w} \in \text{weight of } E_{N_f+1}} \left\| \tilde{a} + \mathbf{w} \cdot \mathbf{m} \right\|^3$$

$$\tilde{a} := a + \frac{1}{8 - N_f} m_0$$

e.g.  $N_f = 7$

$$F = \frac{1}{6} \tilde{a}^3 - \frac{1}{2} \sum_{k=0}^7 m_k^2 \tilde{a} + \frac{1}{6} \sum_{\substack{\{s_i = \pm 1\} \\ \sum_i x_i = 0 \pmod{4}}} \left\| \tilde{a} + \frac{1}{2} \sum_{k=0}^7 s_k m_k \right\|^3$$

$$+ \frac{1}{6} \sum_{\substack{s_1 = \pm 1 \\ x_2 = \pm 1}} \sum_{0 \leq i < j \leq 7} \left\| \tilde{a} + s_1 m_i + s_2 m_j \right\|^3 + \frac{1}{6} \left\| \tilde{a} \right\|^3 \times 8$$

**248 terms**

# Observation 1

$$F^{(\text{Complete})} = F^{(\text{IMS})} \quad \text{for } m_0 \gg |a|, |m_f|$$

**IMS prepotential is reproduced in the weak coupling region**

# Observation 2

$$F^{(\text{Complete})} = F^{(\text{IMS})} \quad \text{for } a \gg |m_0|, |m_f|$$

(The case  $m_0 = m_f = 0$  is included)

**IMS prepotential is reliable up to CFT point**

# Plan of This Talk

✓ §1. Introduction

✓ §2. Complete prepotential from  $(p,q)$  5-brane web

✓ §3. Complete prepotential from global symmetry

§4. Higher rank generalization

§5. Conclusion

# Complete Prepotential for 5d Sp(2) with $N_f=9$ flavor

$$\begin{aligned}
 \mathcal{F} = & \frac{1}{6}(\tilde{a}_1^3 - 2\tilde{a}_2^3) + \tilde{a}_1\tilde{a}_2^2 - \frac{1}{2} \sum_{f=0}^9 m_f^2 (\tilde{a}_1 + \tilde{a}_2) \\
 & + \frac{1}{6} \sum_{0 \leq i < j \leq 9} \sum_{\substack{s_1 = \pm 1 \\ s_2 = \pm 1}} \left\| \tilde{a}_1 + s_1 m_i + s_2 m_j \right\|^3 + \frac{1}{6} \sum_{i=0}^9 \sum_{s = \pm 1} \left\| \tilde{a}_2 + s m_i \right\|^3 \\
 & + \frac{1}{6} \sum_{\substack{\{s_i = \pm 1\} \\ \sum_i s_i = 0 \pmod{4}}} \left\| \tilde{a}_1 + \tilde{a}_2 + \frac{1}{2} \sum_{i=0}^9 s_i m_i \right\|^3 \\
 & + \frac{1}{6} \sum_{0 \leq i_1 < i_2 < i_3 < i_4 < i_5 \leq 9} \sum_{\{s_k = \pm 1\}} \left\| 2\tilde{a}_1 + \tilde{a}_2 + \sum_{k=1}^5 s_k m_{i_k} \right\|^3.
 \end{aligned}$$

$$\tilde{a}_1 = a_1 + m_0, \quad \tilde{a}_2 = a_2$$

## Observation 1'

$$F^{(\text{Complete})} = F^{(\text{IMS})} \quad \text{for } m_0 \gg |a_i|, |m_f|$$

**IMS prepotential is reproduced in the weak coupling region**

## Observation 2'

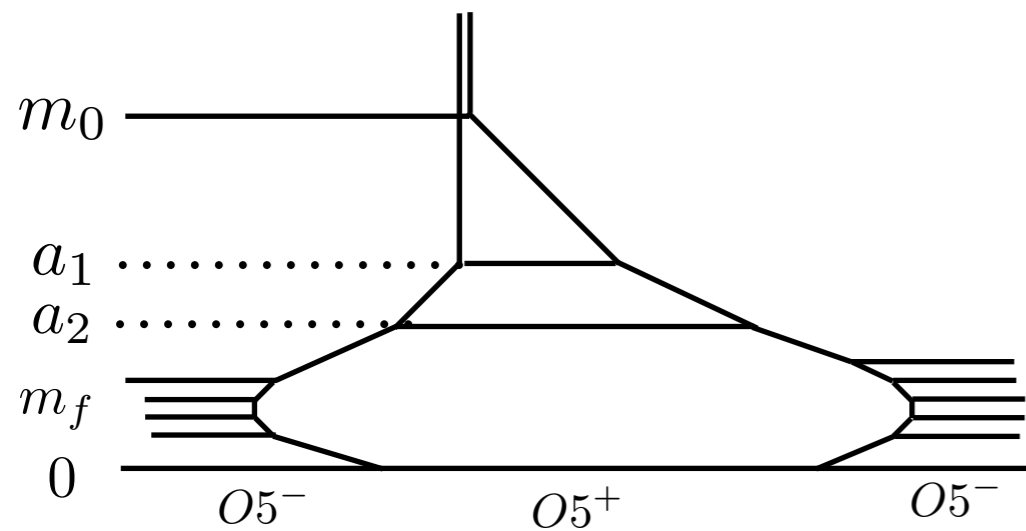
$$F^{(\text{Complete})} = F^{(\text{IMS})} - \frac{1}{6}(a_2 - m_0)^3 \quad \text{for } a_1 > a_2 \gg |m_0|, |m_f|$$

$$\left( \text{Especially, } F^{(\text{Complete})} = F^{(\text{IMS})} - \frac{1}{6}a_2^3 \quad \text{for } m_0 = m_f = 0 \right)$$

**IMS prepotential is **NOT** reliable up to CFT point!**



# Diagrammatic interpretation

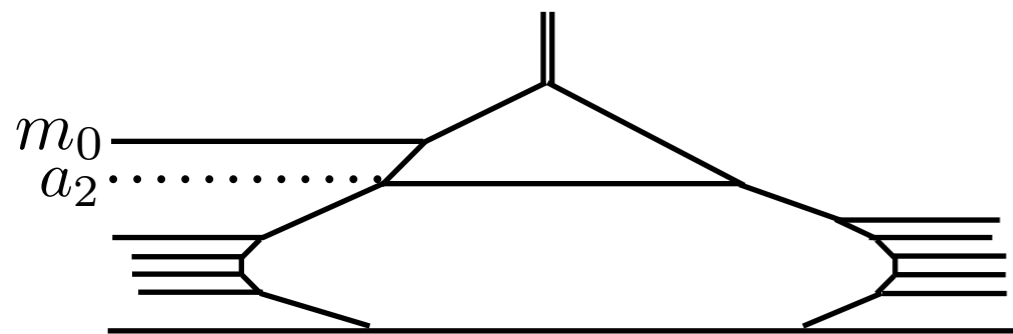


$$m_0 > a_1 > a_2 (> m_f)$$

**IMS prepotential is valid**



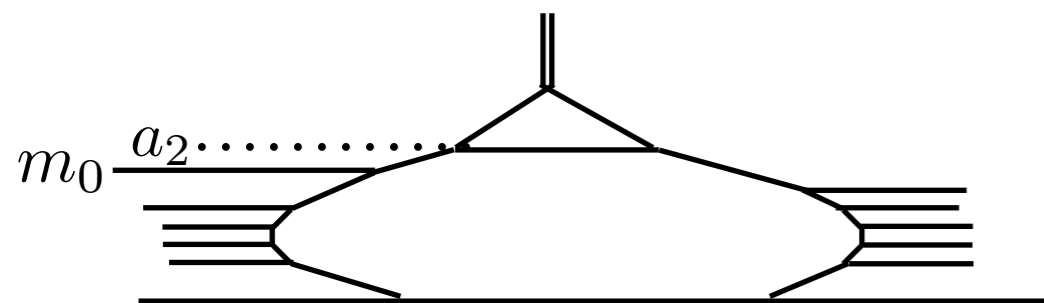
**No modification for prepotential**



$$a_1 > m_0 > a_2 (> m_f)$$



**Need to add non-perturbative correction**  $-\frac{1}{6}(a_2 - m_0)^3$



$$a_1 > a_2 > m_0 (> m_f)$$

**“CFT phase”**: IMS prepotential is not valid

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§5. Conclusion

# Conclusion

**We should add non-perturbative correction to the IMS prepotential in order to understand the CFT realized at the UV fixed point**

**Thank you!**